

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Medtronic, Inc.Application No./Patent No.: 10/672,009 Filed/Issue Date: 09/26/2003Entitled: Surgical Connection Apparatus

Medtronic, Inc., a corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
 (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015134, Frame 0906, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Neil P. Avotte
 Signature

June 16, 2008

Date

Neil P. Avotte(763) 505-8427

Printed or Typed Name

Telephone Number

Vice President & Senior Legal Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



SEPTEMBER 17, 2004

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700114212A

MEDTRONIC, INC.
JEFFREY J. HOHENSHELL
710 MEDTRONIC PARKWAY
MINNEAPOLIS, MN 55432

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/14/2004

REEL/FRAME: 015134/0906
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

COALESCENT SURGICAL, INC.

DOC DATE: 09/10/2004

ASSIGNEE:

MEDTRONIC, INC.
710 MEDTRONIC PARKWAY
MINNEAPOLIS, MINNESOTA 55432

SERIAL NUMBER: 10364064

FILING DATE: 02/10/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 10439973

FILING DATE: 05/16/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 10188013 FILING DATE: 07/01/2002
PATENT NUMBER: ISSUE DATE:
TITLE: TISSUE CONNECTOR APPARATUS WITH CABLE RELEASE

SERIAL NUMBER: 10208405 FILING DATE: 07/29/2002
PATENT NUMBER: ISSUE DATE:
TITLE: TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 10653027 FILING DATE: 08/28/2003
PATENT NUMBER: ISSUE DATE:
TITLE: TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 10715797 FILING DATE: 11/18/2003
PATENT NUMBER: ISSUE DATE:
TITLE: APPARATUS AND METHODS FOR ANASTOMOSIS

SERIAL NUMBER: 09540638 FILING DATE: 03/31/2000
PATENT NUMBER: ISSUE DATE:
TITLE: SURGICAL CLIP REMOVAL APPARATUS

SERIAL NUMBER: 10408019 FILING DATE: 04/03/2003
PATENT NUMBER: ISSUE DATE:
TITLE: MULTIPLE BIAS SURGICAL FASTENER

SERIAL NUMBER: 09686729 FILING DATE: 10/10/2000
PATENT NUMBER: ISSUE DATE:
TITLE: MINIMALLY INVASIVE ANNULOPLASTY PROCEDURE AND APPARATUS

SERIAL NUMBER: 10120579 FILING DATE: 04/10/2002
PATENT NUMBER: ISSUE DATE:
TITLE: MINIMALLY INVASIVE ANNULOPLASTY PROCEDURE AND APPARATUS

SERIAL NUMBER: 09686004 FILING DATE: 10/10/2000
PATENT NUMBER: ISSUE DATE:
TITLE: MINIMALLY INVASIVE VALVE REPAIR PROCEDURE AND APPARATUS

SERIAL NUMBER: 10718236 FILING DATE: 11/19/2003
PATENT NUMBER: ISSUE DATE:
TITLE: MINIMALLY INVASIVE VALVE REPAIR PROCEDURE AND APPARATUS

SERIAL NUMBER: 09828322 FILING DATE: 04/05/2001
PATENT NUMBER: ISSUE DATE:
TITLE: BRIDGE CLIP TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 09828335 FILING DATE: 04/05/2001
PATENT NUMBER: ISSUE DATE:
TITLE: MULTIPLE LOOP TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 09847716 FILING DATE: 05/01/2001
PATENT NUMBER: ISSUE DATE:
TITLE: SELF-CLOSING SURGICAL CLIP FOR TISSUE

SERIAL NUMBER: 09847947 FILING DATE: 05/02/2001
PATENT NUMBER: ISSUE DATE:
TITLE: SELF-CLOSING SURGICAL CLIP FOR TISSUE

SERIAL NUMBER: 10627168 FILING DATE: 07/25/2003
PATENT NUMBER: ISSUE DATE:
TITLE: SEALING CLIP, DELIVERY SYSTEMS, AND METHODS

SERIAL NUMBER: 10125811 FILING DATE: 04/18/2002
PATENT NUMBER: ISSUE DATE:
TITLE: ANNULOPLASTY APPARATUS AND METHODS

SERIAL NUMBER: 10243290 FILING DATE: 09/12/2002
PATENT NUMBER: ISSUE DATE:
TITLE: PROXIMAL ANASTOMOSIS APPARATUS AND METHODS

SERIAL NUMBER: 10340164 FILING DATE: 01/10/2003
PATENT NUMBER: ISSUE DATE:
TITLE: ANASTOMOSIS APPARATUS AND METHODS

SERIAL NUMBER: 10340161 FILING DATE: 01/10/2003
PATENT NUMBER: ISSUE DATE:
TITLE: ANASTOMOSIS APPARATUS AND METHODS

SERIAL NUMBER: 10732084 FILING DATE: 12/10/2003
PATENT NUMBER: ISSUE DATE:
TITLE: SURGICAL CONNECTION APPARATUS AND METHODS

SERIAL NUMBER: 60459385 FILING DATE: 03/30/2003
PATENT NUMBER: ISSUE DATE:
TITLE: APPARATUS AND METHODS FOR VALVE REPAIR

SERIAL NUMBER: 10814854 FILING DATE: 03/30/2004
PATENT NUMBER: ISSUE DATE:
TITLE: APPARATUS AND METHODS FOR VALVE REPAIR

SERIAL NUMBER: 60459560 FILING DATE: 03/30/2003
PATENT NUMBER: ISSUE DATE:
TITLE: APPARATUS AND METHODS FOR MINIMALLY INVASIVE VALVE SURGERY

SERIAL NUMBER: 10814865 FILING DATE: 03/30/2004
PATENT NUMBER: ISSUE DATE:
TITLE: APPARATUS AND METHODS FOR MINIMALLY INVASIVE VALVE SURGERY

SERIAL NUMBER: 10646254 FILING DATE: 08/22/2003
PATENT NUMBER: ISSUE DATE:
TITLE: EVERSION APPARATUS AND METHODS

SERIAL NUMBER: 10672009 FILING DATE: 09/26/2003
PATENT NUMBER: ISSUE DATE:
TITLE: SURGICAL CONNECTION APPARATUS AND METHODS

SERIAL NUMBER: 08998109 FILING DATE: 12/24/1997
PATENT NUMBER: 5972024 ISSUE DATE: 10/26/1999
TITLE: SUTURE-STAPLE APPARATUS AND METHOD

SERIAL NUMBER: 08781577 FILING DATE: 01/09/1997
PATENT NUMBER: 5879371 ISSUE DATE: 03/09/1999
TITLE: FERRULED LOOP SURGICAL FASTENERS, INSTRUMENTS, AND METHODS FOR
MINIMALLY INVASIVE VASCULAR AND ENDOSCOPIC SURGERY

SERIAL NUMBER: 08781578 FILING DATE: 01/09/1997
PATENT NUMBER: 6074401 ISSUE DATE: 06/13/2000
TITLE: PINNED RETAINER SURGICAL FASTENERS, INSTRUMENTS AND METHODS FOR
MINIMALLY INVASIVE VASCULAR AND ENDOSCOPIC SURGERY

SERIAL NUMBER: 08781579 FILING DATE: 01/09/1997
PATENT NUMBER: 6149658 ISSUE DATE: 11/21/2000
TITLE: SUTURED STAPLE SURGICAL FASTENERS, INSTRUMENTS AND METHODS FOR
MINIMALLY INVASIVE VASCULAR AND ENDOSCOPIC SURGERY

SERIAL NUMBER: 09090305 FILING DATE: 06/03/1998
PATENT NUMBER: 6641593 ISSUE DATE: 11/04/2003
TITLE: TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 09089884 FILING DATE: 06/03/1998
PATENT NUMBER: 6607541 ISSUE DATE: 08/19/2003
TITLE: TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 09259705 FILING DATE: 03/01/1999
PATENT NUMBER: 6514265 ISSUE DATE: 02/04/2003
TITLE: TISSUE CONNECTOR APPARATUS WITH CABLE RELEASE

SERIAL NUMBER: 09260623 FILING DATE: 03/01/1999
PATENT NUMBER: 6613059 ISSUE DATE: 09/02/2003
TITLE: TISSUE CONNECTOR APPARATUS AND METHODS

SERIAL NUMBER: 09540636 FILING DATE: 03/31/2000
PATENT NUMBER: 6695859 ISSUE DATE: 02/24/2004
TITLE: APPARATUS AND METHODS FOR ANASTOMOSIS

SERIAL NUMBER: 09541397 FILING DATE: 03/31/2000
PATENT NUMBER: 6551332 ISSUE DATE: 04/22/2003
TITLE: MULTIPLE BIAS SURGICAL FASTENER

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS


 US/14/2004
 700114212

RECORDATION FORM COVER SHEET PATENTS ONLY	
To: The Honorable Commissioner of Patents and Trademarks Please record the attached original document(s) or copy thereof.	
1. Name of conveying party(ies) <u>(Execution Date)</u> Coalescent Surgical, Inc. September 10, 2004 559 East Weddell Drive Sunnyvale, CA 94089	Name and address of receiving party(ies): Medtronic, Inc. 710 Medtronic Parkway Minneapolis, Minnesota 55432
Additional names of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Additional names of receiving party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: <small>If this is a request for correction of a previously recorded document, a copy of the previously recorded assignment and previous Notice of Recordation is enclosed.</small>	
4. Application number or patent number: <input type="checkbox"/> This document is being filed with a new patent application on: _____ <input checked="" type="checkbox"/> This document is to be recorded against the following patent application or patent: <u>(See Schedule A - 3 pages)</u> <input type="checkbox"/> Patent Cooperation Treaty (PCT): Enter PCT application number only if a U.S. Application Number has not been assigned: _____ Additional Numbers Attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Jeffrey J. Hohenshell Medtronic, Inc. 710 Medtronic Parkway Minneapolis, Minnesota 55432 Phone Number: 763-391-9661 Fax Number: 763/391-9668	6. Total number of applications and patents involved: <u>38</u> 7. Total fee (37 CFR 3.41) <u>\$1440.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit Account Number: <u>13-2546</u>
DO NOT USE THIS SPACE	
9. Statement and Signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.	
Jeffrey J. Hohenshell Printed Name of Attorney/Agent Registration No. 24,109	<u>Jeffrey J. Hohenshell</u> Signature <u>September 14, 2004</u> Date
Pages: Total number of pages including COVER SHEET, attachments and documents: <u>6</u>	

Mail documents to be recorded with required cover sheet information to:
 Mail Stop Assignment, Recordation Services, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313

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SCHEDULE A

PATENTS

<u>U.S. Patent No.</u>	<u>Issue Date</u>
5,972,024	10/26/99
5,879,371	3/9/99
6,074,401	6/13/00
6,149,658	11/21/00
6,641,593	11/4/03
6,607,541	8/19/03
6,514,265	2/4/03
6,613,059	9/2/03
6,695,859	2/24/04
6,551,332	4/22/03

PATENT APPLICATIONS

<u>Appl. Serial No.</u>	<u>Publication No.</u>	<u>Filing Date</u>
10/364,064	2003-0125755-A1	2/10/03
10/439,973	2003-0195531-A1	5/16/03
10/188,013	2003-0093118-A1	7/1/02
10/208,405	2003-0078603-A1	7/29/02
10/653,027		8/28/03

PATENT APPLICATIONS (cont.)

<u>Appl. Serial No.</u>	<u>Publication No.</u>	<u>Filing Date</u>
10/715,797		11/18/03
09/540,638		3/31/00
10/408,019	2003-0191481-A1	4/3/03
09/686,729		10/9/00
10/120,579	2003-0074012-A1	4/10/02
09/686,004		10/10/00
10/718,236		11/19/03
09/828,322	2001-0018592-A1	4/5/01
09/828,335	2001-0018593-A1	4/5/01
09/847,716	2002-173803-A1	5/01/01
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10/125,811	2003-0199974-A1	4/18/02
10/243,290		9/12/02
10/340,164	2004-0050393-A1	1/10/03
10/340,161	2004-0068276-A1	1/10/03
10/732,084		12/10/03

PATENT APPLICATIONS (cont)

<u>Appl. Serial No.</u>	<u>Publication No.</u>	<u>Filing Date</u>
60/459,385		3/30/03
10/814,854		3/30/04
60/459,560		3/30/03
10/814,865		3/30/04
10/646,254		8/22/03
10/672,009		9/26/03